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PTO/SB/08A (10-96)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<i>Complete if Known</i>	
		Application Number	10/025,267
		Filing Date	December 18, 2001
		First Named Inventor	Scott Leahy
		Group Art Unit	Unassigned
Examiner Name	Unassigned		
Attorney Docket Number	03801.P070		
Sheet	1	of	7

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	3,581,072	Nymayer	5/25/1971	3/28/1968
	3,573,747	Adams, et al.	4/6/1971	2/24/1969
	4,412,287	Braddock, III	10/25/1983	9/15/1982
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	Office or Country	Number	Date			
	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
JH	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
JH	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
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JH	"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
JH	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature	<i>[Signature]</i>	Date Considered	2/16/05
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I		Netherland	9300266	2.10.1993			No
		France	2658635-A1	2.6.1991			No
JH		Canada	2,253,543	3/19/1997			Yes

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	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
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JH	HESS, CM; KEMERER, CF., "Computerized Loan Organization Systems: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-275	

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number 10/025,267  
Filing Date December 18, 2001  
First Named Inventor Scott Leahy  
Group Art Unit Unassigned  
Examiner Name Unassigned  
Attorney Docket Number 03801.P070

Sheet 3 of 7

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**OTHER DOCUMENTS**

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	RECK, Martin, "Formally Specifying an Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc., New York, NY	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
	LEE, HG, "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
	CLEMONS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

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*[Signature]*

Date Considered

2/16/05

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		First Named Inventor	Scott Leahy
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet 4 of	Attorney Docket Number	03801.P070	

### U.S. PATENT DOCUMENTS

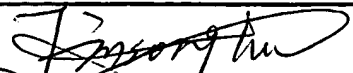
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### OTHER DOCUMENTS

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	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
HL	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, 3 pages	

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		First Named Inventor	Scott Leahy
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Sheet 5 of 7	Attorney Docket Number		03801.P070

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	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , <del>No Date Given</del> Pages 1-10, <u>June 10, 1999</u> .	
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Examiner Signature <i>[Signature]</i>	Date Considered <u>2/16/05</u>
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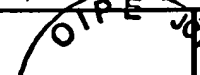
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↓	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	
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↓ JH	Yahoo, <a href="http://user.auctions.yahoo.com">http://user.auctions.yahoo.com</a> , Options (2 pages, 3/13/01)	

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		Number	Kind Code <sup>1</sup> (If known)			
		4,864,516		Gaither et al.	09-05-1989	
		5,205,200		Wright	04-27-1993	
		5,485,510		Colbert	01-16-1996	
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		Office <sup>1</sup>	Number <sup>1</sup>	Kind Code <sup>1</sup> (If known)				
		WIPO	WO 95/17711	A1	Diacom Technologies, Inc.	06-29-1995		
		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
✓		WIPO	PCT/US 00/17136	Int'l. Search Report	eBay, Inc.	11-16-2000		
JH		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

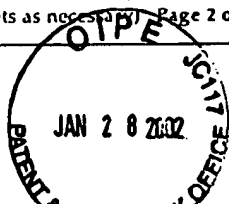
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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		First Named Inventor: Scott Leahy	
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		5,803,500		Mossberg	09-08-1998	
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✓		6,058,417		Hess et al.	05-02-2000	
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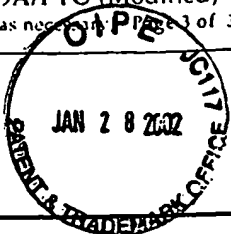
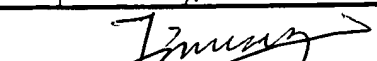
### OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>1</sup>
Examiner Signature			Date Considered 2/16/05

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 1 of 3				Attorney Docket No.: 003801.P070		Application Number: 10/025,267	
				First Named Inventor: <b>Scott Leahy</b>			
				Filing Date: <b>December 18, 2001</b>			
<b>U.S. PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>1</sup> (If known)				
24		6,104,815		Alcorn et al.	08-15-2000		
<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					Translation <sup>2</sup>
		BUSINESS EDITORS, "Mediappraise: Mediappraise Receives National Award for Web-Based Technology That Enables Companies to Solve Thorny HR Problems," 12/14/98, 2 pgs., <a href="http://www.businesswire.com">www.businesswire.com</a> .					
		MEADE, J., "Visual 360: A Performance Appraisal System That's 'Fun' (Evaluation)," HRMagazine, 44, 7, 2 pgs., July 1999, Society for Human Resource Management.					
✓		PREIST, C., et al., "Adaptive Agents in a Persistent Shout Double Auction," Proceedings, 1 <sup>st</sup> International Conf. on Information and Computation Economies, October 25-28, 1998, pgs. 11-18, Charleston, N.C.					
24		RESNICK, P., et al., "Reputation Systems," December 2000, Assoc. for Computing Machinery, Communications of the ACM, vol. 43, n. 12, 4 pgs.					
Examiner Signature					Date Considered	2/16/02	

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 8 of 10				Attorney Docket No.: 003801.P070		Application Number: 10/025,267	
"Prioritization of Third Party Access To An Online Commerce Site"				First Named Inventor:		Scott Leahy	
				Filing Date:		December 18, 2001	

**U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
		5,790,790	A	Smith, et al.	08-04-1998	
		5,845,265	A	Woolston	12-01-1998	
		6,047,264	A	Fisher, et al.	04-04-2000	
		6,061,448	A	Smith, et al.	05-09-2000	
		6,085,176	A	Woolston	07-04-2000	
		6,119,137	A	Smith, et al.	09-12-2000	
		US 6,178,408	B1	Copple, et al.	01-23-2001	
		US 6,192,407	B1	Smith, et al.	02-20-2001	
		US 6,202,051	B1	Woolston	03-13-2001	
		US 6,243,691	B1	Fisher, et al.	06-05-2001	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup> Code <sup>5</sup>	Number <sup>4</sup>	Kind (If known)				

Examiner Signature		Date Considered	2/16/05
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1448A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(use as many sheets as necessary)**

Sheet	1	of	2
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**Complete if Known**

Application Number	10/025,267
Filing Date	December 18, 2001
First Named Inventor	Scott Leahy
Art Unit	2151
Examiner Name	Unassigned
Attorney Docket Number	3801P070

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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**Examiner  
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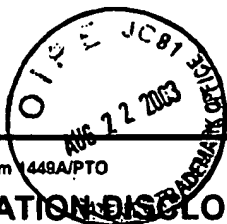
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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/025,267
		Filing Date	December 18, 2001
		First Named Inventor	Scott Leahy
		Art Unit	2151
		Examiner Name	Unassigned
		Attorney Docket Number	3801P070
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number Number - Kind Code <sup>3</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
↓ JH		US-6,047,322	04-04-2000	Vaid et al.	
		US-6,189,033	02-13-2001	Jin et al.	
		US-2001/0044787 A1	11-22-2001	Schwartz et al.	
		US-2001/0051980 A1	12-13-2001	Raciborski et al.	
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Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (w/r) 08/11/2003.

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